

BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS

In re CAROLYN MAIMONE,)	Financial Disclosure Appeal No. FD 19-071
)	
Appellant.)	Final Order No.
_____)	

FINAL ORDER

This matter came before the Commission on Ethics, meeting in public session on March 8, 2024, on the appeal of Appellant, pursuant to Section 112.3145(8)(f), Florida Statutes, which assesses an automatic fine of \$25 per day on a person who fails to timely file a required CE Form 1, Statement of Financial Interests. The Commission may waive the fine in whole or in part for good cause shown, based on "unusual circumstances" surrounding the failure to file by the designated date. There are no matters in dispute. Appellant did not request a hearing before the Commission.

Findings of Fact

1. According to information provided to the Commission, Appellant served as a Board Member for the Lake County Water Authority, a position requiring the filing of a 2018 CE Form 1, Statement of Financial Interests, for the year 2018. In 2019, the designated due date for submitting a 2018 CE Form 1 annual filing was July 1, 2019, with a grace period ending on September 3, 2019.

2. No later than June 1, 2019, the Lake County Supervisor of Elections sent Appellant a 2018 CE Form 1. This notice was sent to Appellant at 602 Waterwood Drive, Tavares, FL 32778.

3. No later than July 31, 2019, the Lake County Supervisor of Elections sent Appellant a Notice of Delinquency by certified mail. This Notice was sent to Appellant at 602 Waterwood Drive, Tavares, FL 32778.

4. On August 19, 2019, the Commission on Ethics mailed Appellant a postcard intended to remind her of her obligation to file a 2018 CE Form 1. The Commission mailed the postcard to the Waterwood Drive address. The postcard was returned to the Commission as undeliverable on August 26, 2019.

5. On September 6, 2019, the Commission sent Appellant a courtesy notice that fines were beginning to accrue, as her 2018 CE Form 1 had not been received. The notice was sent to the Waterwood Drive address. The mailing was returned to the Commission as undeliverable on September 12, 2019.

6. The Commission sent Appellant a Notice of Assessment of her Automatic Fine on July 19, 2021. The notice was sent by mail to the Waterwood Drive address. It indicated the total of Appellant's accrued automatic fine.

7. On July 30, 2021, Appellant submitted her 2018 CE Form 1 to the Commission on Ethics, which was one year and three hundred twenty days after the expiration of the grace period.

8. On July 30, 2021, Appellant submitted her appeal of automatic fine for Form Year 2018 with the Commission. In part A of her appeal form, Appellant listed 2723 East Washington Avenue, Eustis, FL 32726, as her mailing address. In part B of her appeal form, Appellant checked "Lack of Notification – Failure to receive notice" as the general reason for her appeal. In part C of her appeal form, where an appellant is asked to provide detailed explanation of his or her appeal, Appellant wrote that the Supervisor of Elections wrote the wrong city on the notices it was sending. Appellant also wrote that she has since updated their records with the correct city name.

Conclusions of Law

10. The Commission has jurisdiction over the subject matter of this proceeding pursuant to Section 112.3145, Florida Statutes.

11. Financial disclosure is required of public officials and employees because it enables the public to evaluate potential conflicts of interest, deters corruption, and increases public confidence in government.

12. Section 112.3145(8)(f)2., Florida Statutes, states:

Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be in writing and received by the commission within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission. For purposes of this subparagraph, the term "unusual circumstances" does not include the failure to monitor an e-mail account or failure to receive notice if the person has not notified the commission of a change in his or her e-mail address.

9. Here, Appellant claims she did not receive timely notice of her filing obligation, because the notices that she was being sent were sent to the wrong city. There is no evidence to dispute Appellant's claim that she failed to receive timely notice. An internet search reveals that there is no 602 Waterwood Drive in Tavares, FL, but there is in Yalaha, FL. Considering Appellant's claim of lack of notice, and that there is no evidence showing otherwise, there are "unusual circumstances" here that justify waiving the \$1,500 fine.

Order

Based on the foregoing facts and conclusions of law, the Commission hereby finds that unusual circumstances for failure to file have been demonstrated. We therefore waive the assessed fine of \$1,500.

ORDERED by the State of Florida Commission on Ethics meeting in public session on Friday, March 8, 2024.

Date Rendered

Ashley Lukis
Chair, Florida Commission on Ethics

THIS ORDER CONSTITUTES FINAL AGENCY ACTION. ANY PARTY WHO IS ADVERSELY AFFECTED BY THIS ORDER HAS THE RIGHT TO SEEK JUDICIAL REVIEW UNDER SECTION 120.68, AND SECTION 112.3241, FLORIDA STATUTES, BY FILING A NOTICE OF ADMINISTRATIVE APPEAL PURSUANT TO RULE 9.110 FLORIDA RULES OF APPELLATE PROCEDURE, WITH THE CLERK OF THE COMMISSION ON ETHICS, AT EITHER 325 JOHN KNOX ROAD, BUILDING E, SUITE 200, TALLAHASSEE, FLORIDA 32303 OR P.O. DRAWER 15709, TALLAHASSEE, FLORIDA 32317-5709; AND BY FILING A COPY OF THE NOTICE OF APPEAL ATTACHED TO WHICH IS A CONFORMED COPY OF THE ORDER DESIGNATED IN THE NOTICE OF APPEAL ACCOMPANIED BY THE APPLICABLE FILING FEES WITH THE APPROPRIATE DISTRICT COURT OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

AL: jcb

Ms. Carolyn Maimone
2723 East Washington Avenue
Eustis, FL 32726

19-071

223365



STATE OF FLORIDA
COMMISSION ON ETHICS

325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303
Telephone: (850) 488-7864
Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

FLORIDA
COMMISSION ON ETHICS

JUL 30 2021

RECEIVED

APPEAL OF AUTOMATIC FINE FOR FORM YEAR 2018

DIRECTIONS: The information you provide in this form is critical for processing your appeal in a timely manner.

In Part A, please provide current contact information. If your contact information changes while your appeal is being processed, please notify us.

In Part B, please check any boxes that specify the general reason(s) for your appeal.

In Part C, please explain in detail the reason(s) for your appeal. In addition to your written explanation in Part C, you may attach any documents that support your appeal.

IMPORTANT: TO PRESERVE YOUR RIGHT TO APPEAL, THIS FORM OR OTHER WRITTEN APPEAL (AND ANY ATTACHMENTS) MUST BE FILED WITH (RECEIVED BY) THE COMMISSION ON ETHICS WITHIN THIRTY (30) DAYS OF THE DATE THE NOTICE OF ASSESSMENT OF AUTOMATIC FINE WAS MAILED TO YOU.

PLEASE SEND YOUR COMPLETED FORM TO ONE OF THE FOLLOWING:

Mailing Address: Commission on Ethics
P.O. Drawer 15709
Tallahassee, FL 32317-5709

Physical Address: Commission on Ethics
325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

PART A: YOUR INFORMATION

Name: Carolyn M. Maimone

Address: 2723^E Washington Ave City: Eustis State: FL Zip: 32726

Daytime Tel.: _____ Cell: 352-203-6519

Email: Carolyn@Carolynmaimone.com Filer ID# (if known): _____

Public Employer: Lake County Water Authority

Public Position: Board of Trustees - Vice Chairman

CONTINUED ON REVERSE SIDE

PART B: GENERAL REASON(S) FOR YOUR APPEAL

Please choose any/all reasons that apply to your appeal.

I hereby appeal the Notice of Assessment of Automatic Fine on the following basis:

- a. **Sickness or injury** (Explain in Part C and attach a statement from attending physician, including dates and nature of illness or injury)
- b. **Lack of notification – Failure to receive notice** (Explain in Part C and provide documentation that supports your assertion that you never received certified mail delinquency notice: for example, incorrect address; misdelivered mail; change in employment; extended absence from home, etc.)
- c. **Claim of timely filing of financial disclosure** (Explain in Part C and provide copy of certified mail receipt and/or copy of completed form which had been previously filed, along with a sworn notarized statement that you filed prior to the deadline)
- d. **Left public position prior to December 31, 2018** (Explain in Part C and provide confirmation from agency that your office-holding/employment ended before 12/31/2018)
- e. **Other unusual circumstance** (Explain in Part C and provide documentation explaining uncommon, rare, or sudden occurrence that prevented timely filing prior to deadline)
- f. **Not required to file** (Explain in Part C and provide documentation that supports reason for not required to file)

PART C: DETAILED EXPLANATION OF YOUR APPEAL

Please provide a detailed explanation of your appeal, including why each option you selected in Part B is applicable to you. You may use the space provided and/or attach additional pages.

The Lake County SOE put the wrong city on the envelope and Form 1 was returned @ No Such Street. I have contacted SOE and they provided me with a copy of the returned envelope. I have enclosed it for your records.

OPTIONAL REQUEST FOR HEARING

In addition to this written appeal, I specifically request to appear before the Commission in a hearing pursuant to Section 112.3144(8)(f)3 or Section 112.3145(8)(g)3, Florida Statutes. Commission meetings occur in Tallahassee.

SIGNATURE

I have received and read the Notice of Assessment of Automatic Fine and its instructions on How to Appeal and I understand my options. I am requesting disposition of this matter as indicated.

7/30/2021
DATE

Cordelia Martinez
SIGNATURE

STATEMENT OF FINANCIAL INTERESTS

FOR OFFICE USE ONLY:

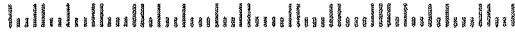
PROCESSED

Ms Carolyn M Maimone
Board Member, Vice-Chair
Lake County Water Authority
Board of Trustees
602 Waterwood Dr
Yalaha FL 34797 -3111

FLORIDA COMMISSION ON ETHICS

JUL 30 2021

RECEIVED



223365

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

CHECK ONLY IF CANDIDATE OR NEW EMPLOYEE OR APPOINTEE

Maimone Carolyn M

**** BOTH PARTS OF THIS SECTION MUST BE COMPLETED ****

DISCLOSURE PERIOD:

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one):

DECEMBER 31, 2018 OR SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR: _____

MANNER OF CALCULATING REPORTABLE INTERESTS:

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

COMPARATIVE (PERCENTAGE) THRESHOLDS OR DOLLAR VALUE THRESHOLDS

PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY
Carolyn M. Maimone Inc	602 Waterwood Drive Yalaha FL 34797	Real Estate Broker Assoc

PART B -- SECONDARY SOURCES OF INCOME

[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

606 N. New Hampshire Ave Tallahassee

FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2.

INSTRUCTIONS on who must file this form and how to fill it out begin on page 3.

PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions]
 (If you have nothing to report, write "none" or "n/a")

TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES
None	

PART E — LIABILITIES [Major debts - See instructions]
 (If you have nothing to report, write "none" or "n/a")

NAME OF CREDITOR	ADDRESS OF CREDITOR
None	

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions]
 (If you have nothing to report, write "none" or "n/a")

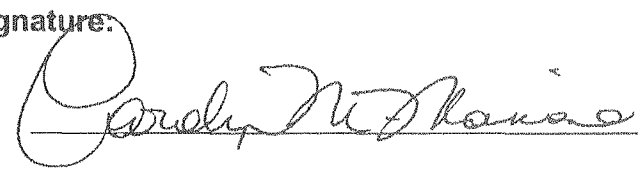
NAME OF BUSINESS ENTITY	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2
	None	
ADDRESS OF BUSINESS ENTITY		
PRINCIPAL BUSINESS ACTIVITY		
POSITION HELD WITH ENTITY		
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS		
NATURE OF MY OWNERSHIP INTEREST		

PART G — TRAINING
 For elected municipal officers required to complete annual ethics training pursuant to section 112.3142, F.S.

I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE

SIGNATURE OF FILER:

Signature: 

Date Signed: 7/30/2021

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: _____

Date Signed: _____

FILING INSTRUCTIONS:

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics, it will be returned.

State officers or specified state employees who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format) and send it to CEForm1@leg.state.fl.us. Do not file by both mail and email. Choose only one filing method. Form 6s will not be accepted via email.

Candidates file this form together with their filing papers.

MULTIPLE FILING UNNECESSARY: A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

WHEN TO FILE: Initially, each local officer/employee, state officer, and specified state employee must file *within 30 days* of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

Candidates must file at the same time they file their qualifying papers.

Thereafter, file by July 1 following each calendar year in which they hold their positions.

Finally, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2018.

Holmes, Kim

From: Carolyn Maimone <carolyn@carolynmaimone.com>
Sent: Friday, July 30, 2021 2:18 PM
To: disclosure
Subject: 2018 Form 1 - Request to Appeal
Attachments: Appeal Fine for 2018.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Good afternoon,

Please confirm receipt.

I have completed the documentation to appeal the automatic fine for not filing the 2018 Form 1 on time. I included the copy of the returned envelope that the Lake County SOE provided me with an explanation. I also have included a completed Form 1 for 2018, and also included my records on the COE website showing that I filed the Form 1 all the other years that it was required. I hope the commission will forgive my accidentally not filing for 2018, I would be very grateful. Thank you.

#be**FI**ERCE,



**Carolyn Maimone, Broker Associate,
SRS, C2Ex Endorsed, Crystal “R” Major RPAC Investor
#YourAdvocateForLife**

Multi-Million Dollar Producer, 2016 – 2020
C: (352) 223-6519 | F: (888) 546-7897
www.CarolynMaimone.com
Carolyn@CarolynMaimone.com

By the way, I am never too busy for your referrals!



Filer Information

Filer History for Carolyn M Maimone

Filing Year	Organization	Statutory Filing Requirement	Filing Requirement Status
2012	<ul style="list-style-type: none"> Lake County Water Authority-Board of Trustees 	Form 1 with Lake County SOE	05/21/2013
2013	<ul style="list-style-type: none"> Lake County Water Authority-Board of Trustees 	Form 1 with Lake County SOE	06/19/2014
2014	<ul style="list-style-type: none"> Lake County Water Authority-Board of Trustees 	Form 1 with Lake County SOE	06/22/2015
2015	<ul style="list-style-type: none"> Lake County Water Authority-Board of Trustees 	Form 1 with Lake County SOE	06/30/2016
2018	<ul style="list-style-type: none"> Lake County Water Authority-Board of Trustees 	Form 1 with Lake County SOE	Form Receipt Not Recorded
2019	<ul style="list-style-type: none"> Lake County Water Authority-Board of Trustees 	Form 1 with Lake County SOE	08/05/2020

[Go Back](#)

**BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS**

In re **Carolyn M Maimone**
Board Member, Vice-Chair
Board of Trustees
Lake County Water Authority

PID#: 223365

NOTICE OF ASSESSMENT OF AUTOMATIC FINE

The Commission on Ethics hereby gives notice of an assessment of a fine against you pursuant to Section 112.3145(8)(g), Florida Statutes, due to your failure to timely file your 2018 CE Form 1, Statement Of Financial Interests. Under the law, your 2018 CE Form 1, Statement of Financial Interests, was due by July 1, 2019. The law provided for a penalty-free grace period extending the due date to September 3, 2019. After that date, you accrued fines of \$25.00 per day for each day your financial disclosure was late, up to the maximum fine of \$1,500.00 (60 days late), pursuant to Section 112.3145(8)(g), Florida Statutes.

Inasmuch as your 2018 CE Form 1 has not been filed with the Supervisor of Elections for Lake County within the 60 days of the grace period date (September 3, 2019), you have accrued the maximum fine amount of \$1,500.00. This fine must be paid to the Commission on Ethics within 30 days of the date of this notice unless you appeal the fine to the Commission. The Commission has the authority to consider the appeal and waive the fine in whole or in part if your failure to file on time was due to "unusual circumstances" surrounding the failure to file. Unless the fine is successfully appealed, the Commission is required to investigate public officers and employees who receive the maximum \$1,500 fine, to determine whether their failure to file was willful. The penalty for willfully failing to file disclosure is removal from public office or employment.

HOW TO APPEAL

1. Read these instructions carefully before submitting your appeal.
2. **LEGAL AUTHORITY:** Appeals are governed by Section 112.3145(8)(g)3., Florida Statutes, and Commission Rule 34-8.215, Florida Administrative Code.
3. **FORMAT:** Your appeal must be in writing and mailed to Florida Commission on Ethics, P. O. Drawer 15709, Tallahassee, FL 32317-5709, or delivered to Florida Commission on Ethics, 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. The appeal may take the form of a letter or you may use the appeal form included in this mailing. The appeal form also is available at the Commission's website: www.ethics.state.fl.us. Click on "Financial Disclosure" and then the link to the sample appeal form.
4. **DUE DATE:** Your appeal must be received by the Commission on Ethics on or before **August 18, 2021**. **NOTE:** Failure to timely file an appeal will constitute a waiver of your right to appeal and will result in the entry of a default order against you.
5. **UNUSUAL CIRCUMSTANCES:** An appeal must demonstrate that you submitted your CE Form 1 after the extended due date because of "unusual circumstances." "Unusual circumstances" is defined in Commission Rule 34-8.215(4), Florida Administrative Code, as "uncommon, rare, or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements." Therefore, circumstances that allowed for time to take steps necessary to file on time do not constitute "unusual circumstances" that will allow the Commission to waive the fine. You have the burden to establish "unusual circumstances." Your appeal must specifically state the circumstances that led to your not filing by September 3, 2019, and must include any documentation or evidence supporting your appeal, such as:
 - a. **SICKNESS/INJURY:** a statement from attending physician, including dates and nature of the illness or injury;
 - b. **LACK OF NOTICE (WRONG ADDRESS):** documentation that you did not reside at the address to which notice was sent;

- c. **LACK OF NOTICE (ABSENCE FROM HOME):** documentation establishing the period of time of your absence covering the notification period;
- d. **CLAIM OF TIMELY FILING OF FINANCIAL DISCLOSURE:** (1) an affidavit from you attesting under oath or affirmation that you filed your financial disclosure and your recollection of when and how you filed and (2) a copy of a certified mail receipt and/or a copy of the completed form which was filed. If you have witnesses to your filing, we also will need an affidavit from each witness. **NOTE:** A claim of having filed the CE Form 1F for the current year does not satisfy the CE Form 1 filing requirement or excuse a late filing;
- e. **LEFT PUBLIC POSITION BEFORE DECEMBER 31, 2018:** confirmation of your last date of office or employment by your former agency, showing the last date to be before December 31, 2018; or
- f. **UNCLAIMED CERTIFIED MAIL:** if delinquency notice was addressed correctly but not received, you must explain why.
6. **YOUR RIGHT TO A HEARING:** You have the right to have your appeal heard by the Commission and to appear before the Commission at the hearing, but, to exercise this right, you must specifically request a hearing in your appeal. If you do not request a hearing, you will waive your right to a hearing, the Commission will determine the outcome of your appeal based upon the written record (including the documentation you provide and any documentation in your case file), and you will receive no further notice until after the Commission decides your appeal.

FAILURE TO PAY FINE OR FILE APPEAL WITHIN 30 DAYS

If you do not timely file an appeal or pay the assessed fine within 30 days of this Notice, a default order will be entered against you and the Commission will take the steps provided by law to collect the fine, including:

- Referral to the CFO of the Department of Financial Services, if you are a salaried state officer or employee, for withholding of a portion of your salary until the fine is satisfied; or
- Referral to your agency's governing body for withholding of a portion of your salary until the fine is satisfied;
- Referral to a collection agency, which can seek garnishment of your wages; and/or
- An additional civil penalty, not limited by this automatic fine, may be imposed if your disclosure statement is filed more than 60 days late and a complaint is filed against you pursuant to Section 112.324, Florida Statutes.

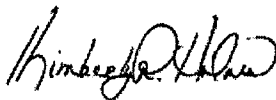
Please contact our office if you have any questions about this matter.

CERTIFICATE OF MAILING

I certify that a copy of the foregoing Notice of Assessment of Automatic Fine was furnished to:

**Ms Carolyn M Maimone
602 Waterwood Dr
Yalaha, FL 34797 -3111**

by Certified Mail on this Monday, July 19, 2021.



KIMBERLY R. HOLMES
Program Administrator

Florida Commission on Ethics
P. O. Drawer 15709
Tallahassee, FL 32317-5709

-or-

Florida Commission on Ethics
325 John Knox Road, Building E, Ste. 200
Tallahassee, FL 32303

Tel.: (850) 488-7864

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

Ms Carolyn M Maimone
Board Member, Vice-Chair
Lake County Water Authority
Board of Trustees
602 Waterwood Dr
Yalaha FL 34797 -3111



223365

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

CHECK ONLY IF CANDIDATE OR NEW EMPLOYEE OR APPOINTEE

Maimone Carolyn M

****** BOTH PARTS OF THIS SECTION MUST BE COMPLETED ******

DISCLOSURE PERIOD:

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one):

DECEMBER 31, 2018 OR SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR: _____

MANNER OF CALCULATING REPORTABLE INTERESTS:

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

COMPARATIVE (PERCENTAGE) THRESHOLDS OR DOLLAR VALUE THRESHOLDS

PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY

PART B -- SECONDARY SOURCES OF INCOME [Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2.

INSTRUCTIONS on who must file this form and how to fill it out begin on page 3.



STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709



9214 8901 0661 5400 0164 7112 99

RETURN RECEIPT (ELECTRONIC)

223365

CAROLYN M MAIMONE
602 WATERWOOD DR
YALAHA, FL 34797-3111

57

URGENT - Open
Immediately!

CUT / FOLD HERE

Zone 3

6'X9" ENVELOPE
CUT / FOLD HERE

CUT / FOLD HERE

Mail Piece Details **Print this page****Recipient Address**

CAROLYN M MAIMONE
602 WATERWOOD DR
YALAHA, FL 34797-3111

Record / Case Number:
223365

Return Address

STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709

Entry Point ZIP:
32317

Mail Piece Information

Tracking Number: 92148901066154000164711299
Date Created: 07/19/2021 01:57:44 PM
Mail Class: USPS First Class Mail
Special Services: Certified Mail
Return Receipt Electronic
Memo: --
Created By: Kimberly Holmes - Commission on Ethics

Signature Information

Signed For By: CAROLYN M MAIMONE
Signature Status: Available (Click Here)

*Having issues viewing the signature file?
Make sure you are using the latest version of Adobe Acrobat Reader*

Tracking Information

Mailed, July 19, 2021, 01:57:44 PM, TALLAHASSEE,FL 32317
Pre-Shipment Info Sent To Usps, Usps Awaiting Item, July 19, 2021, 12:00:00 AM
Pre-Shipment Info Sent Usps Awaits Item, July 19, 2021, 01:17:00 PM, TALLAHASSEE,FL 32317
Accepted At Usps Origin Facility, July 20, 2021, 09:20:00 AM, TALLAHASSEE,FL 32317
Origin Acceptance, July 20, 2021, 09:20:00 AM, TALLAHASSEE,FL 32317
Arrived At Usps Regional Facility, July 20, 2021, 10:35:00 AM
Processed Through Usps Facility, July 20, 2021, 10:35:00 AM, ORLANDO FL DISTRIBUTION CENTER 32862
Depart Usps Facility, July 20, 2021, 09:04:00 PM, ORLANDO FL DISTRIBUTION CENTER 32862
Processed Through Usps Facility, July 21, 2021, 01:14:00 AM, ORLANDO FL DISTRIBUTION CENTER 32862
Departed Usps Regional Facility, July 21, 2021, 03:01:00 AM
Processed Through Usps Facility, July 21, 2021, 03:01:00 AM, ORLANDO FL DISTRIBUTION CENTER 32862
In Transit, Arriving On Time, July 22, 2021, 12:00:00 AM
Arrived At Usps Regional Destination Facility, July 22, 2021, 10:55:00 PM
Processed Through Usps Facility, July 22, 2021, 10:55:00 PM, FORT MYERS FL DISTRIBUTION CENT 33913
Departed Usps Regional Facility, July 23, 2021, 08:11:00 AM
Depart Usps Facility, July 23, 2021, 08:11:00 AM, FORT MYERS FL DISTRIBUTION CENT 33913
In Transit To Next Facility, July 24, 2021, 12:00:00 AM
Arrived At Usps Regional Facility, July 24, 2021, 07:07:00 PM
Processed Through Usps Facility, July 24, 2021, 07:07:00 PM, ORLANDO FL DISTRIBUTION CENTER 32862
In Transit To Next Facility, July 25, 2021, 12:00:00 AM
Processed Through Usps Facility, July 26, 2021, 12:33:00 AM, ORLANDO FL DISTRIBUTION CENTER 32862
Departed Usps Regional Facility, July 26, 2021, 01:45:00 AM
Processed Through Usps Facility, July 26, 2021, 01:45:00 AM, ORLANDO FL DISTRIBUTION CENTER 32862
Departed Usps Regional Facility, July 26, 2021, 08:21:00 AM
Processed Through Usps Facility, July 26, 2021, 08:21:00 AM, ORLANDO FL DISTRIBUTION CENTER 32862
Arrived At Usps Regional Destination Facility, July 26, 2021, 05:17:00 PM
Processed Through Usps Facility, July 26, 2021, 05:17:00 PM, LAKE MARY FL DISTRIBUTION CENTE 32799
Departed Usps Regional Destination Facility, July 26, 2021, 11:23:00 PM
Processed Through Usps Facility, July 26, 2021, 11:23:00 PM, LAKE MARY FL DISTRIBUTION

CENTE 32799

Delivered Left With Individual, July 27, 2021, 02:20:00 PM, EUSTIS,FL 32726

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For the latest version of Adobe® Reader® click here.



July 27, 2021

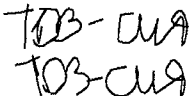
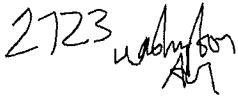
Dear MAIL MAIL:

The following is in response to your request for proof of delivery on your item with the tracking number:
9214 8901 0661 5400 0164 7112 99.

Item Details

Status: Delivered, Left with Individual
Status Date / Time: July 27, 2021, 2:20 pm
Location: EUSTIS, FL 32726
Postal Product: First-Class Mail®
Extra Services: Certified Mail™
Return Receipt Electronic
Recipient Name: CAROLYN M MAIMONE

Recipient Signature

Signature of Recipient:	
Address of Recipient:	

Note: Scanned image may reflect a different destination address due to Intended Recipient's delivery instructions on file.

Thank you for selecting the United States Postal Service® for your mailing needs. If you require additional assistance, please contact your local Post Office™ or a Postal representative at 1-800-222-1811.

Sincerely,
United States Postal Service®
475 L'Enfant Plaza SW
Washington, D.C. 20260-0004

The customer reference information shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

Reference ID: 92148901066154000164711299
223365
CAROLYN M MAIMONE
602 Waterwood Dr
Yalaha, FL 34797-3111

U.S. Postal Service™
CERTIFIED MAIL® RECEIPT
Domestic Mail Only

For delivery information, visit our website at www.usps.com®

OFFICIAL USE

Certified Mail Fee \$ 7.50

Extra Services & Fees (check box, add fee as appropriate)

Return Receipt (hardcopy) \$ 2.80

Return Receipt (electronic) \$ _____

Certified Mail Restricted Delivery \$ _____

Adult Signature Required \$ _____

Adult Signature Restricted Delivery \$ _____

Postage \$ 50

Total Postage and Fees \$ 57.50

Sent To
 Street or
 City/State
 Carolyn Malrnone
 602 Waterwood Drive
 Tavares FL 32778

Postmark
 Here

7017 0660 0000 1696 5909

PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions

CERTIFIED MAIL®



REIMBURSEMENT REQUESTED

RECEIVED
AUG - 5 2019
 SUPERVISOR OF ELECTIONS
 LAKE COUNTY, FLORIDA

Carolyn Malrnone
 602 Waterwood Drive
 Tavares FL 32778

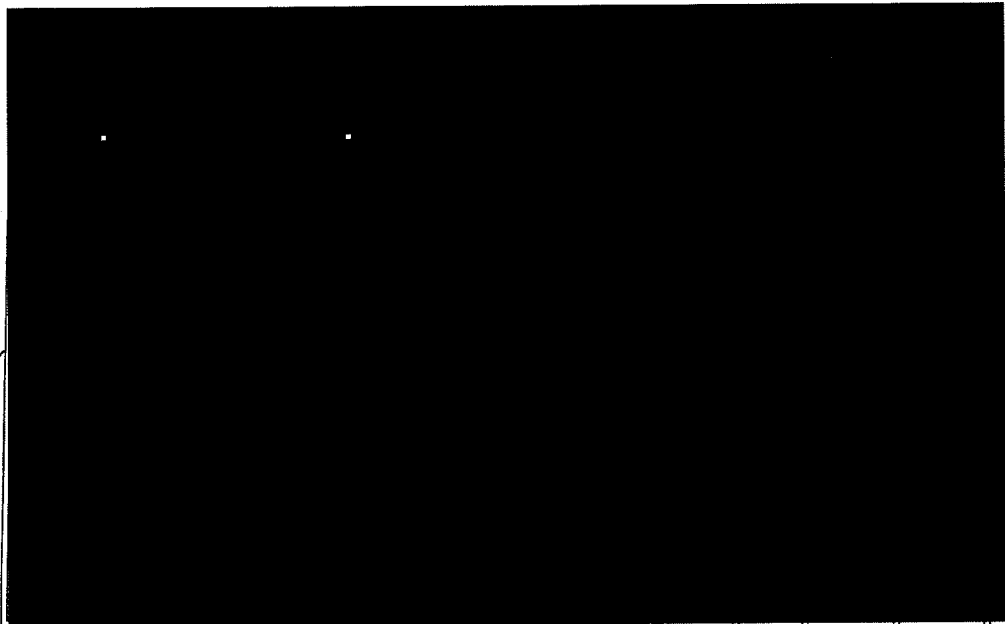
(Handwritten circle around 'N55')

7017 0660 0000 1696 5909

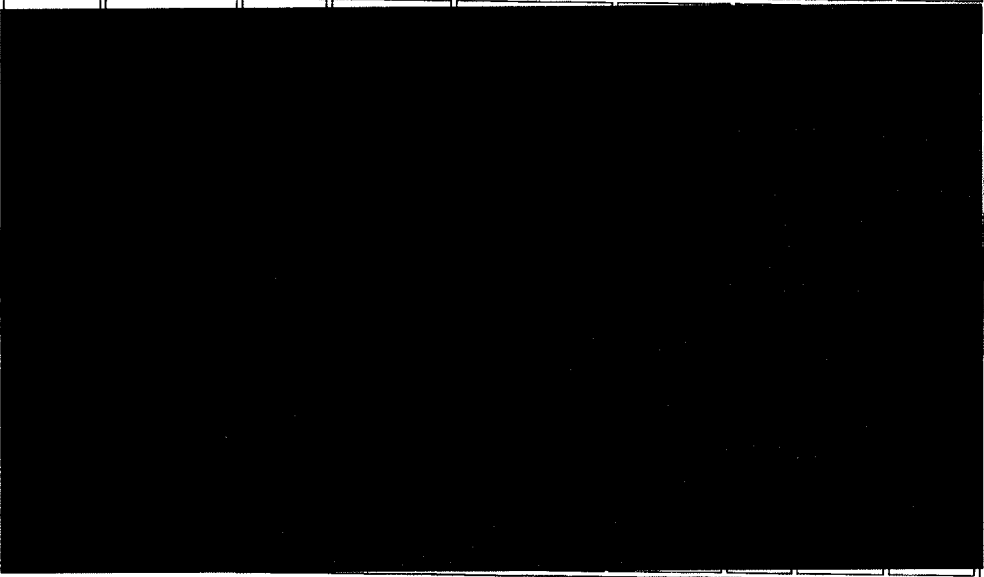
\$006.800

327789999
 327789999
 0457

339 DE 1 0823/01/15
 RETURN TO SENDER
 NO SUCH STREET
 UNABLE TO FORWARD
 *1201-04598-38-42



9		223365	Ms Carolyn M Maimone	Lake County Water Authority	Board Member, Vice- Chair		45	Lake
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I D. ALAN HAYS, the Supervisor of Elections of Lake County, hereby certify that each person whose PID number, name, agency, and position appears above or on the attached list:

1. was sent a notice of the July 1, 2019 financial disclosure deadline and a blank Form 1, Statement of Financial Interests, not later than June 1, 2019;

2. was determined to be delinquent in filing a Form 1, Statement of Financial Interests, by July 1, 2019;
3. was sent a delinquency notice by certified mail not later than July 31, 2019 advising him or her of the grace period in effect until September 3, 2019; and of the penalties that could be imposed as provided in Section 112.3145(7)(c), Florida Statutes; and
4. did not file a Form 1, Statement of Financial Interests, until the date shown or, had not filed a Form 1, Statement of Financial Interests by November 2, 2019; and further
5. that the date of filing shown is based upon the earliest of the following:
 - a. when the Form 1 was actually received by my office;
 - b. when the Form 1 was postmarked;
 - c. when the certificate of mailing (if any) was dated; or
 - d. when the receipt (if any) from an established courier company was dated.

Signed



SUPERVISOR OF ELECTIONS

Confirm

Cancel



Financial Disclosure Management System
THE FLORIDA COMMISSION ON ETHICS

Filer - Fines and Appeals - PID 223365 - Ms Carolyn M Maimone

Filer Information

Org Membership

Forms

Communications

Fines and Appeals >

View All

Filer Flags

- [2000](#) [2001](#) [2002](#) [2003](#) [2004](#)
- [2005](#) [2006](#) [2007](#) [2008](#) [2009](#)
- [2010](#) [2011](#) [2012](#) [2013](#) [2014](#)
- [2015](#) [2016](#) [2017](#) [2018\(S\)](#) [2019](#)
- [2020](#) [2021](#) [2022](#)

<<2022 Form Year

Status

Filing: INACTIVE

Fine: No Fine

Flags

Public Address

Filing Extensions

Indefinite: None

Temporary:

None

Eligible for Fines

The filer has fines for: [2019 \(Appeal\)](#)

2019 Fines and Appeals

Form Year 2018 Filed Forms						
Received Date	Form Type	Form Signed	Filed by Email	Filing Location	Updated	Comments
07/30/21	Form 1	Yes	Yes	SOE	holmesk on 02/22/2022	Received by COE on behalf of Lake Supervisor of Elections

2019 Fine Information				Update Fine Information			
				<input type="button" value="Assign Agency Contact"/>			
Fine Balance	Fine Status	Fine Date	Original Assessment	Fine Amount	Last Payment Date	Payment Plan Start Date	Payment Plan Amount
\$1,500.00	Appeal	5/12/2020	\$1,500.00	\$1,500.00			
Fine Address 602 Waterwood Dr Yalaha FL 34797-3111							
Org/Suborg Lake County Water Authority-Board of Trustees							

2019 Fine Payment History					
Date Posted	Description	Amount	Method	Payment ID	Comments
5/12/2020	Fine Levied	+ \$1,500.00			Fined \$1500.00
Current Balance: \$1,500.00					

 Add a New Filer

 Jump To A Filer

PID:

 Quick Filer Search

First Name:

Last Name:

2019 Fine Year Event

Chronology

<input checked="" type="checkbox"/> Date	Type	Description	Reference
<input checked="" type="radio"/> 08/19/2019	Postcard Sent	Courtesy Postcard Reminder	Print Queue: 8/19/2019 Printing Confirmed: 8/19/2019

Letter Sent To:
Ms Carolyn M Maimone
602 Waterwood Drive
Tavares, FL 32778

<input type="radio"/> 08/26/2019	Filer	PC returned no such number	Emily Prine Communication: Other
<input checked="" type="radio"/> 09/6/2019	Letter Sent	Courtesy Notice of Fines Accruing	Print Queue: 9/6/2019 Printing Confirmed: 9/6/2019

Letter Sent To:
Ms Carolyn M Maimone
602 Waterwood Drive
Tavares, FL 32778

<input type="radio"/> 09/12/2019	Filer	Fine accruing notification	Emily Prine Communication: returned Letter
<input type="radio"/> 05/12/2020	Fine Levied	Fined \$1500.00	Journal: 5/12/2020 3:05 PM
<input type="radio"/> 06/11/2021	Notice of Assessed Fine	Initial Fine Notice	Journal: 6/11/2021 9:36 AM
<input checked="" type="radio"/> 07/19/2021	Letter Sent	Notice of Assessed Fine - Filer 1st Fine Letter	Print Queue: 7/19/2021 Printing Confirmed: 7/19/2021

Letter Sent To:
Ms Carolyn M Maimone
602 Waterwood Dr

Yalaha, FL 34797 -3111

07/29/2021 Filer Communication: Phone Filer called. She received initial fine notice. She called Lake County to get to the bottom of this & apparently whatever they sent her was sent to the wrong address so she was never notified. I advised her to get the 2018 Form 1 in as soon as possible and went over the appeal process with her, which she plans to do. Diana Westberry

07/30/2021 Form Received Form 1 Received, Signed Form 1 Received by Received by COE on behalf of Lake Supervisor of Elections SOE

Form Received By:
 Filing Location: Lake County SOE
 Record Created By: Kimberly Holmes on 02/22/2022

08/23/2021 Fine Appeal FD 19-071 Journal: 8/23/2021 3:53 PM

08/23/2021 Letter Sent Fine Appeal Print Queue: 8/23/2021
 Printing Confirmed: 8/23/2021

Letter Sent To:
 Ms Carolyn M Maimone
 602 Waterwood Dr
 Yalaha, FL 34797 -3111

2019 Fine Appeal - FD 19-071	Update Appeal	Withdraw Appeal
	Assign Attorney	Request More Info
		Record Appeal Outcome
Appeal Status:	No Hearing Requested	

	<p>Active</p> <p>Appeal Receipt</p> <p>Date: 07/30/2021</p> <p>Timely Filed: Yes</p> <p>Print Appeal</p> <p>Letter: Yes</p> <p>Hearing</p> <p>Requested: No</p> <p>Appeal Reason:</p> <p>Lack of</p> <p>Notification</p> <p>Appeal Notes:</p> <p>Appeal Number:</p> <p>FD 19-071</p> <p>Appeal Analyst</p> <p>Assigned:</p> <p>Final Order</p> <p>Number:</p> <p>Final Order Date:</p>	
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